Three-dimensional distribution of ionospheric anomalies prior to three large earthquakes in Chile

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1415 Abstract

Using regional Global Positioning System (GPS) networks, we studied three-16 17 dimensional spatial structure of ionospheric total electron content (TEC) anomalies preceding three recent large earthquakes in Chile, South America, i.e. the 2010 Maule 18 19 (M_w8.8), the 2014 Iquique (M_w8.2), and the 2015 Illapel (M_w8.3) earthquakes. Both 20 positive and negative TEC anomalies, with areal extent dependent on the earthquake 21 magnitudes, appeared simultaneously 20-40 minutes before the earthquakes. For the two 22 mid-latitude earthquakes (2010 Maule and 2015 Illapel), positive anomalies occurred to 23 the north of the epicenters at altitudes 150-250 km. The negative anomalies occurred 24 further to the north at higher altitudes 200-500 km. This lets the epicenter, the positive 25 and negative anomalies align parallel with the local geomagnetic field, which is a typical 26 structure of ionospheric anomalies occurring in response to positive surface electric 27 charges.

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29 **1. Introduction**

Ionospheric Total Electron Contents (TEC), derived by comparing phases of two L band
microwave signals from Global Positioning System (GPS) satellites, allow us to study
earthquakes from unique points of view. Coseismic ionospheric disturbances sometimes
provide information on rupture processes of earthquakes [e.g. *Heki et al.*, 2008]. Their
amplitudes depend on moment magnitudes (M_w) of earthquakes [*Cahyadi and Heki*,
2015], and ionospheric monitoring may contribute to early warning of tsunami arrivals
[e.g. *Astafyeva et al.*, 2013].

37 Apart from these coseismic disturbances, Heki [2011] found ionospheric electron 38 enhancements starting ~ 40 minutes before the 2011 Tohoku-oki earthquake (M_w 9.0), 39 Japan, using a dense array of continuous GPS stations. Through debates between critical 40 papers [Kamogawa and Kakinami, 2013; Utada and Shimizu, 2014; Masci et al., 2015] 41 and replies to them [Heki and Enomoto, 2013; 2014; 2015], Heki and Enomoto [2015] 42 showed that the enhancements preceded eight past earthquakes with $M_w 8.2$ or more. 43 They found that the enhancements started about 20/40 minutes prior to M_w 8/9 44 earthquakes, and that the changes in vertical TEC (VTEC) rates depend on M_w as well as 45 background absolute VTEC. Although similar changes often occur due to geomagnetic 46 activities, Heki and Enomoto [2015] demonstrated that they are not frequent enough to

- 47 account for the observed preseismic anomalies. The reader is referred to the introduction48 of *Heki and Enomoto* [2015] for the history of the arguments.
- 49 These past papers mainly focused on the reality of enhancements and their significance 50 among space-weather origin disturbances, and little discussed spatial structures and areal 51 extents of the anomalies. Here, we study three-dimensional (3D) structures of preseismic 52 ionospheric anomalies, in order to shed light on the underlying physical processes. We 53 focus on three recent large interplate earthquakes in Chile, South America, i.e. the 2010 54 Maule (M_w 8.8), the 2014 Iquique (M_w 8.2), and the 2015 Illapel (M_w 8.3) earthquakes. 55 Large number of continuous GPS stations distributed over South America make this 56 region ideal for such studies.
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58 **2. GPS Data and TEC analysis procedures**

59 **2.1 The three Chilean earthquakes**

60 In this study, we analyzed the behaviors of ionospheric TEC before and after the three 61 large earthquakes in Chile using regional GPS data (Figure 1). The 2010 February 27 62 Maule earthquake ruptured the boundary between the Nazca and the South America 63 Plates known as the Constitución-Concepción seismic gap in Central Chile [Madariaga 64 et al., 2010]. The 2014 April 1 Iquique earthquake ruptured the same plate boundary around the Peru-Chile border [Ruiz et al., 2014]. The 2015 September 16 Illapel 65 earthquake occurred in a segment ~500 km north of the Maule earthquake [Ye et al., 66 2015]. Geographic latitudes of their epicenters are 36.1S, 19.6S, and 31.6S, respectively, 67 68 and their geomagnetic latitudes are lower by ~10 degrees. The Maule earthquake 69 occurred past midnight (03:34:14) in local time (LT) and the other two occurred in the 70 evening, i.e. at 20:46:47 LT (2014 Iquique) and at 19:54:33 LT (2015 Illapel).

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72 2.2 GPS-TEC data processing

73 We first obtained slant TEC (STEC), number of electrons integrated along the travel 74 path of microwave signals, and removed the phase ambiguities by letting the TEC time 75 series derived by carrier phases align with those by pseudo-ranges [Calais and Minster, 76 1995; Mannucci et al., 1998]. The STEC data still include satellite and receiver inter-77 frequency biases (IFBs). We obtained the satellite IFBs from the header information of 78 global ionospheric maps available from University of Berne, Switzerland [Schaer et al., 79 1998]. We inferred the receiver IFBs by minimizing the scatter of nighttime VTEC at 80 individual stations [Rideout and Coster, 2006].

After removing IFB, we calculated the absolute VTEC by multiplying the STEC with the cosine of the incident angle of the line-of-sight with a thin shell at 300 km above the ground (In drawing SIPs in Figures 2 and 3, we assumed different heights). We use VTEC throughout this study because they are free from apparent variations due to changing satellite elevations. Geomagnetic activities were low when the 2010 Maule and the 2014 Iquique earthquakes occurred, but was moderately high during the 2015 Illapel earthquake (Figure S1).

We followed *Heki and Enomoto* [2015] to identify bends (breaks) in VTEC before earthquakes using the Akaike's information criterion (AIC) (Figure S2). Figure 2 shows VTEC time series observed using different pairs of GPS satellites and receivers over 2-3 hour intervals including the three Chilean earthquakes. We modeled the VTEC curves with the polynomials of time with degree 3-5, excluding the intervals from the onsets of the anomalies detected using AIC to 20 minutes after the earthquakes. We define positive

and negative departures from the models as anomalous increases (enhancements) anddecreases of VTEC, respectively.

- 96 Some stations show clear coseismic disturbances, e.g. CRZL-PRN20, AREQ-PRN01 97 and TILC-PRN14, in Figure 2a, b and c, respectively. Such disturbances clearly appear 98 where SIPs and stations are on the northern side of the epicenter, owing to interaction 99 with geomagnetic fields [*Rolland et al.*, 2013]. Coseismic electron depletions are clear 100 above the regions of large vertical coseismic crustal movements [*Shinagawa et al.*, 2013], 101 e.g. RCSD-PRN23, LYAR-PRN23 and CMPN-PRN24, in Figure 2a, b and c,
- respectively, but are smaller outside such regions.
- Preseismic TEC enhancements emerge ~40 minutes before the 2010 Maule earthquake (Figure 2a-2, upper half). We found that TEC starts to decrease (Figure 2a-2, lower half) simultaneously at stations farther to the north of the epicenter. The 2014 Iquique (Figure 2b-2) and 2015 Illapel (Figure 2c-2) earthquakes also showed similar sets of VTEC enhancements and decreases starting ~20 minutes before earthquakes.
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109 **3. Preseismic TEC anomalies of the three Chilean earthquakes**

110 Figure 3 shows map distributions of the TEC anomalies at three time epochs, i.e. 30 111 minutes, 15 minutes, and immediately (30 seconds) before the 2015 Illapel earthquake. 112 The dots represent the SIP positions calculated assuming the ionospheric heights of ~170 113 km and ~420 km, for positive and negative TEC anomalies, respectively. SIP coordinates 114 depend on the assumed height of an ionospheric anomaly, and multiple SIPs obtained with different satellite-station pairs are expected to converge when the assumed anomaly 115 height is correct (Figure S3). In order to constrain the altitudes of the observed positive 116 117 and negative anomalies, we tuned their altitudes so that they minimize the angular 118 standard deviations of the SIPs of positive and negative groups, respectively (Figure S4).

119 No anomalies exist 30 minutes before the earthquake (Figure 3a, d). Both positive and 120 negative VTEC anomalies have already emerged to the north of the epicenter ~15 minutes before the earthquake (Figure 3b, e). They become the largest immediately 121 122 before the earthquake (Figure 3c, f). Such positive and negative TEC anomalies also preceded the 2010 Maule (Figure S5) and the 2014 Iquique (Figure S6) earthquakes. For 123 the 2010 and 2015 events, the positive anomalies are located just to the north of the 124 125 epicenters, and the negative anomalies appeared far to the north over a larger area. We 126 find fewer anomalies to the south of the epicenter. For the 2014 event, the positive 127 anomalies emerged just above the epicenter, and the negative anomalies appeared both on 128 the northern and southern sides.

129 Figure 4 compares the map views of preseismic positive VTEC anomalies immediately 130 before the three Chilean earthquakes. We tuned the ionospheric heights to minimize the 131 scatters of the positive anomalies (Figure S4). The dimensions of areas of positive VTEC 132 anomalies depend on M_w (hence on fault size); the anomaly of the 2010 M_w 8.8 Maule 133 earthquake are larger than the other two (M_w 8.2 and 8.3) earthquakes. The background 134 VTEC, on the other hand, does not seem to influence the dimension; the background 135 VTEC of the 2014 Iquique earthquake was >10 times as large as that of the 2010 Maule 136 earthquake (see Fig. 1 of Heki and Enomoto [2015]).

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- 138 **4. Discussions**

139 **4.1 Onset time and the VTEC rate changes**

Before discussing 3D spatial distribution of the ionospheric anomalies, we briefly discuss other aspects of the anomalies. Using multiple pairs of satellite and stations, we found that the onset time of the anomaly was ~40, ~23, and ~22 minutes before the 2010, 2014, and 2015 earthquakes (Figure S2). This is consistent with other earthquakes (Fig.

144 5a in *Heki and Enomoto* [2015]).

145 Heki and Enomoto [2015] have already used the 2010 Maule and 2014 Iquique 146 earthquakes to derive the empirical relationship (equation 5) between the background 147 VTEC, VTEC rate changes, and M_w. This equation assumes that magnitudes of very 148 large earthquakes are already determined in the nucleation stage. The observations 149 suggest that cascading-up would not much exceed the difference between the predicted 150 and real magnitudes (0.28 in Heki and Enomoto [2015]). For the 2015 Illapel event, a 151 new earthquake, the background VTEC of 22 TECU and the observed break of 4.3 152 TECU/h (CMPN- PRN24) predicts M_w of 8.7. This is 0.4 larger than the actual M_w. 153 Inclusion of this new event, and future large earthquakes, would further refine the 154 coefficients of the equation, and improve the accuracy of the expected M_w.

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156 **4.2 Spatial structures of preseismic ionospheric anomalies**

157 For studying 3D spatial distribution of the TEC anomalies, we use the data of the 2015 158 Illapel earthquake, for which the station distribution is the most suitable (Figure 1). The 159 conventional way is to map horizontal distribution of TEC anomalies, like Figure 3, as if 160 they occurred on a horizontal plane at a certain height. In Figure 5a, we drew a "longitudinal profile", where we plot the calculated heights and latitudes of the 161 intersections of the line-of-sight vectors with the 70W meridional plane. Because the 162 163 line-of-sights need to intersect with the plane at high angles, we used only PRN14 and 25. 164 The profile shows that the positive and negative anomalies line up along the magnetic field (inclination -32° [*Thebault et al.*, 2015]) from the bottom of ionosphere (~85 km 165 166 high) above the epicenter.

Figures 3 and 5a suggest the 3D spatial structure of the preseismic ionospheric 167 anomalies as illustrated in Figure 5b. This resembles to the numerical calculation results 168 169 of the ionospheric response to the positive surface electric charges (see Figure 12e of *Kuo* 170 et al. [2014]). For the 2010 Maule earthquake, the distribution of GPS stations (Figure 1a) 171 was not good enough to plot the longitudinal profiles, but the SIP maps (Figure S5) do 172 suggest a similar 3D structure. In the 2014 Iquique earthquake, the negative anomalies 173 exist on both the north and south of the positive anomalies (Figure S6). This difference 174 might reflect the lower geomagnetic latitude (~10S) of the 2014 earthquake epicenter. 175 We are also preparing for a systematic search for the mirror image anomalies that are 176 expected to emerge at geomagnetic conjugate points.

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178 **4.3 Physical process responsible for preseismic TEC anomalies**

179 *Kuo et al.* [2014] demonstrated, with numerical simulation, that the westward electric 180 field in the ionosphere originated from the upward atmospheric electric current causes 181 obliquely downward $E \times B$ drift of electrons. This drift causes the increase and decrease of 182 electron density at altitudes of ~200 and ~400 km, respectively, and results in the positive 183 and negative anomalies lying along the geomagnetic field. The observed anomalies 184 before the 2015 Illapel earthquake (Figure 5) are consistent with this picture. The

- nighttime ionosphere before this earthquake changed by ~10% of the background VTEC.
- 186 This requires the maximum density of ~ 10 nA m⁻² of upward atmospheric electric current

187 at the geomagnetic latitude (21.7S) of the 2015 Illapel earthquake [*Kuo et al.*, 2014].

188 A candidate mechanism to explain such currents is the outflow of positive holes from 189 fast stressed rock to unstressed rock observed in laboratory experiments [e.g. Freund et 190 al., 2009]. Such currents sharply increase immediately before the failure of rock samples, 191 and then decrease exponentially over a short time after the failure. This resembles the 192 observed VTEC anomaly behaviors. Although there are no decisive evidences, the 193 present observations support the scenario that positive charges from rocks under near-194 failure stress, possibly in the earthquake nucleation stage, cause ionospheric anomalies 195 immediately before large earthquakes.

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their official webpages. We downloaded additional data from IGS (<u>www.igs.org</u>) and UNAVCO

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270 Figures







Figure 1. GPS stations (red triangles) used to study the three Chilean earthquakes, (a) 83 stations for the 2010 Maule, (b) 47 stations for the 2014 Iquique, and (c) 91 stations for the 2015 Illapel earthquakes. The beach balls show the epicenters and focal mechanisms.







282 Figure 2. The VTEC time series observed with eight pairs of station-satellite (same colors 283 for same satellites) showing preseismic enhancements and decreases shown in the upper 284 and lower halves, respectively. The grey curves are the reference models, from which we 285 define VTEC anomalies shown in Figure 3. The vertical grey lines indicate earthquake 286 occurrence times. The maps at the top show the positions of GPS stations (gray squares) 287 and the SIP trajectories (red dots and blue diamonds indicate the earthquake times for 288 stations showing enhancements and decreases, respectively) over the studied intervals. 289 We assumed 200 and 400 km for ionospheric heights in drawing SIP tracks for 290 enhancements and decreases, respectively. The yellow stars show the epicenters. 291



292 293 Figure 3. Distribution of SIPs showing preseismic positive/negative VTEC anomalies at 294 (a/d) 30 minutes, (b/e) 15 minutes, and (c/f) 0.5 minutes before the 2015 Illapel 295 earthquake. We used five GPS satellites (PRN12, 14, 15, 24 and 25). We derived the SIP 296 positions in (a-c) and (d-f) assuming the ionospheric heights of 170 and 420 km, 297 respectively. The yellow star shows the epicenter, and gray triangles indicate GPS 298 receivers. We removed negative (<-0.5 TECU) and positive (>+0.5 TECU) anomalies 299 from (a-c) and (d-f), respectively, for visual clarity. 300





Figure 4. Preseismic VTEC enhancements 0.5 min before the 2010 Maule (a), 2014
Iquique (b), and 2015 Illapel (c) earthquakes. We assumed the ionospheric altitudes of
200, 220, and 170 km in calculating SIP positions for the three earthquakes, respectively.
The yellow stars show the epicenters. The gray triangles represent the positions of GPS
receivers.



Figure 5. (a) Longitudinal profile at 70W of VTEC anomalies immediately before the 2015 Illapel earthquake drawn using PRN 14 and 25. We show latitudinal profile in Figure S7. (b) Schematic illustration showing the 3D distribution of positive and negative anomalies. A thick grey arrow shows the geomagnetic field. The red and the blue regions show the positive and negative electron density anomalies. The yellow stars show the epicenter.

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319 320	Geophysical Research Letters
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322 323	Three-dimensional distribution of ionospheric anomalies prior to three large earthquakes in Chile
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331 332	Contents of this file
333	Figures S1 to S6
335 336	Additional Supporting Information (Files uploaded separately)
337 338 339	None
340	Introduction
341 342 343 344 345 346	This supporting information provides seven figures: time series of geomagnetic indices 15 days before and after the earthquake days (Figure S1), inference of the onset times of VTEC anomalies using AIC (Figure S2), concept of determination of ionospheric anomaly heights (Figure S3, S4), the evolution of positive and negative ionospheric anomalies before the 2010 Maule earthquake (Figure S5), and before the 2014 Iquique earthquake (Figure S6), and the latitudinal profile of VTEC anomalies (Figure S7).



Figure S1. Time series of the *D*st and AE indices ±15 days before and after the occurrence days
of the 2010 Maule, the 2014 Iquique, and the 2015 Illapel earthquakes. Data taken from

351 NASA/GSFC Omniweb (<u>http://omniweb.gsfc.nasa.gov/form/dx1.html</u>).

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Figure S3. Two satellite-site pairs (sat#1-site#1 and sat#2-site#2) show positive TEC anomalies because their line-of-sights penetrate the ionospheric positive anomaly. With a wrong assumption of the anomaly height, SIP positions of the two satellite-receiver pairs would deviate from each other (a). On the other hand, the correct height would let their SIP positions converge (b). We separately determined the approximate heights of the positive and negative ionospheric anomalies,

378 by minimizing the scatters of SIP positions of satellite-station pairs showing positive and negative

379 TEC anomalies. These heights are used in drawing Figures 3, 4, S5 and S6.



380 381 Figure S4. We determine the altitudes of preseismic electron density increases and decreases by minimizing the angular standard deviations (SD) of the SIPs showing positive and 382 383 negative anomalies. The SIPs of positive anomalies immediately before the 2015 Illapel 384 earthquake (22:54 UT), obtained by PRN12, 14, 15, 24 and 25, are drawn assuming the 385 height of thin ionosphere of (a) 100 km, (b) 170 km, and (c) 300 km. In (d) we show the 386 behavior of angular SD when we changed the height from 100 km to 300 km with the step of 387 10 km. The angular SD showed clear minimum at 170 km. We repeated the same procedure for the negative anomalies obtained by PRN12, 15, 24 and 25, assuming the height of thin 388 389 ionosphere of (e) 300 km, (f) 420 km, and (g) 500 km. The angular SD showed the minimum 390 at 420 km (h).



Figure S5. Distribution of positive (a-c) and negative (d-f) VTEC anomalies before the 2010 M_w8.8
 Maule earthquake. We used five GPS satellites (PRN11, 13, 17, 20, and 23). We assumed that the

positive and negative anomalies exist at heights of 200 km and 400 km, respectively. For the detail, see the caption of Figure 3.



Figure S6. Distribution of positive (a-c) and negative (d-f) VTEC anomalies before the 2014 M_w8.2

401 Illapel earthquake. We used five GPS satellites (PRN01, 11, 13, 20, and 23). We assumed that the

402 positive and negative anomalies exist at heights of 220 km and 360 km, respectively. For the detail, see403 the caption of Figure 3.



Figure S7. Latitudinal profiles of VTEC anomalies at 22:54:00, 30 seconds before the 2015 Illapel

- 407 earthquake, using PRN 15, drawn assuming the latitudes of the profile at 28.5°S, ~300 km to the north of
- 408 the epicenter. We can see that intersections showing positive anomalies concentrate at height of 170-250409 km.